

INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Docket Number 00-1019		Application Number 09/853,430	
		Applicants Philip GINSBERG, et. al.			
		Filing Date May 10, 2001		Group Art Unit 3691	
NON PATENT LITERATURE DOCUMENTS					
Examiner Initials	Cite No.	Author, Title, date, pages, volume, publisher, city and/or country where published			
		PCT Search Report for International Application No.: PCT/US01/41525; 5 pages; 10/26/2001			
		Pending U.S. Patent Application Serial No. 11/562,719, entitled "Processing of Orders in a Trading System Once Warning Limits are Exceeded", filed November 22, 2006 in the name of Ginsberg et al.			
		Pending U.S. Patent Application Serial No. 11/562,674, entitled "Processing of Trades That Exceed Warning Limits", filed November 22, 2006 in the name of Ginsberg et al.			
Examiner Signature				Date Considered	